

# MATH 582 HOMEWORK 5

## WEEK 9

*Winter, 2009*

*Due March 27*

**Exercise 1.** *Prove the distributive law : for all  $\alpha, \beta, \gamma$ ,*

$$\alpha \cdot (\beta + \gamma) = \alpha \cdot \beta + \alpha \cdot \gamma.$$

*Proof.* The proof is by transfinite induction on  $\gamma$ . When  $\gamma = 0$  both sides of the equality are 0. Suppose  $\gamma = \delta + 1$ , and that (i.h.)

$$\alpha \cdot (\beta + \delta) = \alpha \cdot \beta + \alpha \cdot \delta.$$

Then

$$\begin{aligned} \alpha \cdot (\beta + \gamma) &= \alpha \cdot (\beta + (\delta + 1)) \\ &= \alpha \cdot ((\beta + \delta) + 1) \\ &= \alpha \cdot (\beta + \delta) + \alpha && \text{def. of mult.} \\ &= \alpha \cdot \beta + \alpha \cdot \delta + \alpha && \text{i.h.} \\ &= \alpha \cdot \beta + \alpha \cdot (\delta + 1) && \text{def. of mult.} \\ &= \alpha \cdot \beta + \alpha \cdot \gamma. \end{aligned}$$

Suppose  $\gamma$  is a limit and for all  $\delta < \gamma$  (i.h.)

$$\alpha \cdot (\beta + \delta) = \alpha \cdot \beta + \alpha \cdot \delta.$$

Consider the following normal functions (Lecture 20, slide 7)

$$\begin{aligned} F_\alpha(\xi) &= \alpha \cdot \xi \\ G_{\alpha,\beta}(\xi) &= \alpha \cdot \beta + \xi \end{aligned}$$

So,  $G_{\alpha,\beta} \circ F_\alpha$  is also normal (Lecture 20, slide 11).

Note that  $\beta + \gamma$  is a limit and that

$$\beta + \gamma = \sup\{\beta + \delta \mid \delta < \gamma\}.$$

Since  $F_\alpha$  is normal

$$\alpha \cdot (\beta + \gamma) = F_\alpha(\beta + \gamma) = \sup\{F_\alpha(\beta + \delta) \mid \delta < \gamma\} = \sup\{\alpha \cdot (\beta + \delta) \mid \delta < \gamma\}$$

So,

$$\begin{aligned} \alpha \cdot (\beta + \gamma) &= \sup\{\alpha \cdot (\beta + \delta) \mid \delta < \gamma\} \\ &= \sup\{\alpha \cdot \beta + \alpha \cdot \delta \mid \delta < \gamma\} && \text{i.h.} \\ &= \sup\{G_{\alpha,\beta} \circ F_\alpha(\delta) \mid \delta < \gamma\} \\ &= G_{\alpha,\beta} \circ F_\alpha(\delta) && \text{continuity} \\ &= \alpha \cdot \beta + \alpha \cdot \gamma. \end{aligned}$$

□

**Exercise 2.** Prove that ordinal exponentiation satisfies

(a)  $\alpha^{\beta+\gamma} = \alpha^\beta \cdot \alpha^\gamma$ .

(b)  $(\alpha^\beta)^\gamma = \alpha^{(\beta \cdot \gamma)}$ .

*Proof.* (a). The proof is by transfinite induction on  $\gamma$ . When  $\gamma = 0$ , both sides of the equality are  $\alpha^\beta$ . Suppose  $\gamma = \delta + 1$  and that (i.h.)

$$\alpha^{\beta+\delta} = \alpha^\beta \cdot \alpha^\delta.$$

Then

$$\begin{aligned} \alpha^{\beta+\gamma} &= \alpha^{\beta+(\delta+1)} \\ &= \alpha^{(\beta+\delta)+1} \\ &= \alpha^{\beta+\delta} \cdot \alpha && \text{def. of exp.} \\ &= \alpha^\beta \cdot \alpha^\delta \cdot \alpha && \text{i.h.} \\ &= \alpha^\beta \cdot \alpha^{\delta+1} \\ &= \alpha^\beta \cdot \alpha^\gamma. \end{aligned}$$

Suppose  $\gamma$  is a limit and for all  $\delta < \gamma$  (i.h.)

$$\alpha \cdot (\beta + \delta) = \alpha \cdot \beta + \alpha \cdot \delta.$$

Consider the following normal functions (Lecture 20, slide 7)

$$\begin{aligned} F_\alpha(\xi) &= \alpha^\xi \\ G_{\alpha \cdot \beta}(\xi) &= \alpha \cdot \beta \cdot \xi \end{aligned}$$

So,  $G_{\alpha \cdot \beta} \circ F_\alpha$  is also normal (Lecture 20, slide 11).

Note that  $\beta + \gamma$  is a limit and that

$$\beta + \gamma = \sup\{\beta + \delta \mid \delta < \gamma\}.$$

Since  $F_\alpha$  is normal

$$\alpha^{\beta+\gamma} = F_\alpha(\beta + \gamma) = \sup\{F_\alpha(\beta + \delta) \mid \delta < \gamma\} = \sup\{\alpha^{\beta+\delta} \mid \delta < \gamma\}$$

So,

$$\begin{aligned} \alpha^{\beta+\gamma} &= \sup\{\alpha^{\beta+\delta} \mid \delta < \gamma\} \\ &= \sup\{\alpha^\beta \cdot \alpha^\delta \mid \delta < \gamma\} && \text{i.h.} \\ &= \sup\{G_{\alpha \cdot \beta} \circ F_\alpha(\delta) \mid \delta < \gamma\} \\ &= G_{\alpha \cdot \beta} \circ F_\alpha(\delta) && \text{continuity} \\ &= \alpha^{(\beta \cdot \gamma)}. \end{aligned}$$

(b). The proof is by transfinite induction on  $\gamma$ . When  $\gamma = 0$ , both sides of the equality are 1. Suppose  $\gamma = \delta + 1$  and that (i.h.)

$$(\alpha^\beta)^\delta = \alpha^{\beta \cdot \delta}.$$

Then

$$\begin{aligned} (\alpha^\beta)^\gamma &= (\alpha^\beta)^{\delta+1} \\ &= (\alpha^\beta)^\delta \cdot \alpha^\beta \text{ def. of exp.} \\ &= \alpha^{\beta \cdot \delta} \cdot \alpha^\beta \quad \text{i.h.} \\ &= \alpha^{\beta \cdot \delta + \beta} \text{(a)} \\ &= \alpha^{\beta \cdot (\delta+1)} \\ &= \alpha^{\beta \cdot \gamma} \end{aligned}$$

Suppose  $\gamma$  is a limit and for all  $\delta < \gamma$  (i.h.)

$$(\alpha^\beta)^\delta = \alpha^{\beta \cdot \delta}.$$

Consider the following normal functions (Lecture 20, slide 7)

$$\begin{aligned} F_\beta(\xi) &= \beta^\xi \\ G_\alpha(\xi) &= \alpha^\xi \end{aligned}$$

So,  $G_\alpha \circ F_\beta$  is also normal (Lecture 20, slide 11).

Note that  $\beta + \gamma$  is a limit and that

$$\beta + \gamma = \sup\{\beta + \delta \mid \delta < \gamma\}.$$

So,

$$\begin{aligned} (\alpha^\beta)^\gamma &= \sup\{(\alpha^\beta)^\delta \mid \delta < \gamma\} \quad \text{def. of exp.} \\ &= \sup\{\alpha^{\beta \cdot \delta} \mid \delta < \gamma\} \quad \text{i.h.} \\ &= \sup\{G_\alpha \circ F_\beta(\delta) \mid \delta < \gamma\} \\ &= G_\alpha \circ F_\beta(\gamma) \quad \text{continuity} \\ &= \alpha^{\beta \cdot \gamma} \end{aligned}$$

□

**Exercise 3.** For every ordinal  $\alpha$ , there is a unique limit ordinal  $\gamma$  (or  $\gamma = 0$ , if  $\alpha < \omega$ ) and a natural number  $n$  such that  $\alpha = \beta + n$ .

*Proof.* If  $\alpha < \omega$ , then  $\alpha = n$  for some  $n$ , so  $\alpha = 0 + n$ .

Suppose  $\alpha \geq \omega$ . Since (by Lecture 20, slide 19) the function  $\Lambda$  enumerating the limit ordinals is normal and  $\Lambda(0) \leq \alpha$ , for each  $\alpha$ , there exists a unique  $\beta$  with  $\Lambda(\beta) \leq \alpha < \Lambda(\beta+1)$  (Bracketing Theorem, Lecture 20, slide 13).

By the successor case for  $\Lambda$ :

$$\Lambda(\beta) \leq \alpha < \Lambda(\gamma) + \omega.$$

Let  $n \in \omega$  and  $\beta = \Lambda(\gamma)$ . Then  $\alpha = \beta + n$ .

Uniqueness of representation. If  $\beta' < \beta$  then  $\beta' + m < \beta$  for every  $m$ , since  $\beta$  is a limit; if  $\beta < \beta'$  and  $\beta'$  is a limit, then  $\beta + m < \beta'$  for every  $m$ . Thus,  $\beta$  is uniquely determined. That  $n$  is uniquely determined follows from the Order Property of addition.  $\square$

**Exercise 4.** Prove the following are equivalent.

- (i)  $\alpha$  is a limit ordinal.
- (ii)  $\alpha = \omega \cdot \beta$  for some  $\beta > 0$ .
- (iii) For every nonzero  $m \in \omega$ ,  $m \cdot \alpha = \alpha$  and  $\alpha \neq 0$ .

*Proof.* (i)  $\rightarrow$  (ii). Let  $\alpha$  be a limit ordinal. Consider the function  $F : \mathbf{ON} \rightarrow \mathbf{ON}$  defined by recursion:

$$F(0) = 0 \quad F(\beta) = \omega \cdot \beta \quad \text{for } \beta > 0.$$

This function is continuous: let  $\gamma$  be a limit ordinal, then

$$\begin{aligned} F(\gamma) &= \omega \cdot \gamma \\ &= \sup\{\omega \cdot \xi \mid \xi < \gamma\} && \text{Def. of } \cdot \\ &= \sup\{F(\xi) \mid \xi < \gamma\} && \text{Def. of } F. \end{aligned}$$

The function is order-preserving (we need only check successors by Lecture 20, slide 9)

$$\begin{aligned} F(\beta) &= \omega \cdot \beta \\ &< \omega \cdot \beta + \omega \\ &= \omega \cdot (\beta + 1) \\ &= F(\beta + 1). \end{aligned}$$

So,  $F$  is normal.

By the Bracketing Theorem (Lecture 20, slide 13), there is a unique  $\beta$  such that

$$F(\beta) \leq \alpha < F(\beta + 1) \quad \text{equivalently} \quad \omega \cdot \beta \leq \alpha < \omega \cdot (\beta + 1)$$

We must have  $\alpha = \omega \cdot \beta$ : since

$$\omega \cdot (\beta + 1) = \omega \cdot \beta + \omega = \sup\{\omega \cdot \beta + n \mid n \in \omega\}$$

so, if  $\omega \cdot \beta < \alpha < \omega \cdot (\beta + 1)$  there is an  $0 < n \in \omega$  with  $\alpha = \omega \cdot \beta + n$ , which is a successor ordinal, contradicting our assumption  $\alpha$  is a limit ordinal. Furthermore,  $\beta > 0$ , since  $\omega \cdot 0 = 0$  and  $\alpha > 0$ .

(ii)  $\rightarrow$  (iii). Let  $\alpha = \omega \cdot \beta$  for some  $\beta > 0$ . Then

$$n \cdot \alpha = n \cdot (\omega \cdot \beta) = (n \cdot \omega) \cdot \beta = \omega \cdot \beta,$$

Since  $n \cdot \omega = \omega$  for every  $n > 0$ .

(iii)  $\rightarrow$  (i). Suppose (iii) holds but (i) fails. Then,  $\alpha = S(\beta)$  for some  $\beta$ . Then

$$2 \cdot \alpha = 2 \cdot (\beta + 1) = 2 \cdot \beta + 2 \geq \beta + 2 > \beta + 1 = \alpha.$$

So,  $2 \cdot \alpha \neq \alpha$ . This contradicts (iii). So, (i) holds. □

**Exercise 5.** If  $(A, R)$  is a well-ordered set and  $X \subseteq A$  then  $\text{type}(X, R) \leq \text{type}(A, R)$ . (Hint. We have already shown that  $(X, R)$  is a well-ordered set. You may assume  $X \subseteq A \in \mathbf{ON}$  and  $R$  is  $<$  (Why?) Consider an isomorphism  $f : X \rightarrow \delta$  where  $\text{type}(X, <) = \delta$  and show that  $f(\xi) \leq \xi$  by transfinite induction on  $\xi$ .)

*Proof.* Let  $\text{type}(A) = \alpha$  via the isomorphism  $h : A \xrightarrow{\cong} \alpha$ . Let  $Y = h[X] \subseteq \alpha$ . Since  $\text{type}(X) = \text{type}(Y)$ , it is sufficient to show that  $\text{type}(Y) \leq \alpha$ .

Let  $\text{type}(Y) = \delta$  and via the isomorphism  $f : Y \xrightarrow{\cong} \delta$ . Show by transfinite induction that  $f(\gamma) \geq \gamma$  for all  $\gamma < \delta$ . It then follows that  $\gamma \leq f(\gamma) < \alpha$  for all  $\gamma < \delta$ , and so  $\alpha$  is an upper-bound of  $\delta$ . That is,  $\delta \leq \alpha$ .

If  $Y = \emptyset$ , then  $\delta = 0 \leq \alpha$ . Suppose  $Y \neq \emptyset$ . Show that  $f(\gamma) \geq \gamma$  for all  $\gamma < \delta$  by transfinite induction on  $\gamma$ .

$\gamma = 0$ . Then  $f(0)$  is the least ordinal in  $Y$ , so  $0 \leq f(0)$ .

$\gamma = \eta + 1$ . Assume (i.h.)  $f(\eta) \geq \eta$ . Then  $f(\eta + 1)$  is the least element of  $Y$  greater than  $f(\eta)$ , so

$$f(\gamma) = f(\eta + 1) \geq f(\eta) + 1 \geq \eta + 1 = \gamma.$$

$\gamma$  is a limit. Assume (i.h.)  $f(\eta) \geq \eta$  whenever  $\eta < \gamma$ . Then  $f(\gamma)$  is the least ordinal in  $Y$  greater than each  $f(\eta)$  for  $\eta < \gamma$ . So,

$$\begin{aligned} f(\gamma) &\geq \sup\{f(\eta) \mid \eta < \gamma\} \\ &\geq \sup\{\eta \mid \eta < \gamma\} \\ &= \gamma. \end{aligned}$$

□

**Exercise 6.** Show that  $\alpha \cdot \beta = \text{type}(\beta \otimes \alpha)$  for all  $\alpha, \beta$ , where  $\beta \otimes \alpha$  is the ordered set  $(\beta \times \alpha, \triangleleft)$  and  $\triangleleft$  is lexicographic ordering (see Lecture 22, slide 28).

*Proof.* The proof is by transfinite induction on  $\beta$ .

$$\beta = 0. \text{ Then } 0 \times \alpha = 0, \text{ so } \text{type}(0 \otimes \alpha) = 0 = \alpha \cdot \beta.$$

$\beta = \gamma + 1$ . Suppose (i.h.)  $\alpha \cdot \gamma = \text{type}(\gamma \otimes \alpha)$ . The ordering  $(\gamma + 1) \otimes \alpha$  places a copy of  $\alpha$  (pairs of the form  $(\gamma, \delta)$  where  $\delta \in \alpha$ ) above  $\gamma \otimes \alpha$ . So,

$$\beta \otimes \alpha = (\gamma + 1) \otimes \alpha = (\gamma \otimes \alpha) \oplus \alpha.$$

Thus,

$$\begin{aligned} \text{type}(\beta \otimes \alpha) &= \text{type}((\gamma \otimes \alpha) \oplus \alpha) \\ &= \text{type}(\gamma \otimes \alpha) \oplus \text{type}(\alpha) \\ &= \alpha \cdot \gamma + \alpha \\ &= \alpha \cdot (\gamma + 1) \\ &= \alpha \cdot \beta. \end{aligned}$$

$\beta$  is a limit. By the (i.h.),  $\alpha \cdot \gamma = \text{type}(\gamma \otimes \alpha)$  whenever  $\gamma < \alpha$ .

Note that if  $\delta < \gamma$  then  $\delta \otimes \alpha$  is an initial segment of  $\gamma \otimes \alpha$  by the lexicographic ordering. Since  $\beta$  is a limit

$$\beta \otimes \alpha = \bigcup_{\gamma < \beta} \gamma \otimes \alpha.$$

By Lecture 22, slide 16,

$$\begin{aligned} \text{type}(\beta \otimes \alpha) &= \sup\{\text{type}(\gamma \otimes \alpha) \mid \gamma < \beta\} \\ &= \sup\{\alpha \cdot \gamma \mid \gamma < \beta\} \\ &= \alpha \cdot \beta. \end{aligned}$$

□

**Exercise 7.** Let  $\alpha$  be a limit ordinal. Show the following are equivalent (such  $\alpha$  are called indecomposable ordinals):

- (a)  $\forall \beta < \alpha (\beta + \alpha = \alpha)$ .
- (b)  $\forall \beta, \gamma < \alpha (\beta + \gamma < \alpha)$ .
- (c)  $\exists \delta (\alpha = \omega^\delta)$ .
- (d)  $\forall X \subseteq \alpha (\text{type}(X) = \alpha \vee \text{type}(\alpha - X) = \alpha)$ .

*Hint.* (b)  $\Rightarrow$  (c): Use the bracketing theorem (Lecture 20, slide 13) with the function  $(\delta \mapsto \omega^\delta)$ . For (c)  $\Rightarrow$  (d). Prove by transfinite induction on  $\delta$ . Consider  $X$  and  $X^c$  where  $X^c = \omega^\delta - X$ . For the successor

case, where  $\omega^\delta = \omega^{\gamma+1}$ , break  $\omega^\delta$  into a disjoint sequence of intervals of length  $\omega^\gamma$  and consider the types of  $X$  and  $X^c$  restricted on these intervals. For the limit case,  $\omega^\delta = \sup\{\omega^\xi \mid \xi < \delta\}$ , so take the disjoint intervals  $[\omega^\xi, \omega^{\xi+1})$  and consider the types of  $X$  and  $X^c$  when restricted to each of these intervals. In each case the intervals are disjoint and unbounded in  $\omega^\delta$ , that is for each interval there is an interval above it. The inductive hypothesis applies to each of these intervals. Use these intervals to show that the type of  $X$  or  $X^c$  must be  $\omega^\delta$ .

*Proof.* (a)  $\Rightarrow$  (b). If  $\gamma < \alpha$  then  $\beta + \gamma < \beta + \alpha = \alpha$  by the order property of addition, and (a) (the last equality).

(b)  $\Rightarrow$  (c). Consider the function  $F_\omega(\delta) = \omega^\delta$ .  $F$  is normal (Lecture 21, slide 12). By the Bracketing Theorem (Lecture 20, slide 13) there is a unique  $\delta$  with

$$\omega^\delta \leq \alpha < \omega^{\delta+1} = \omega^\delta + \omega.$$

Suppose  $\omega^\delta < \alpha$ . Then

$$\omega^\delta \cdot n < \alpha \leq \omega^\delta \cdot (n+1) \quad \text{for some } n > 1$$

By the division algorithm

$$\alpha = \omega^\delta \cdot n + \gamma \quad \gamma \leq \omega^\delta.$$

However

$$\omega^\delta \cdot n < \alpha \quad \text{and} \quad \gamma \leq \omega^\delta < \alpha$$

contradicting the assumption that (b).

(c)  $\Rightarrow$  (d). The proof is by transfinite induction on  $\delta$ . When  $\delta = 0$ ,  $\omega^0 = 1$ , so for any  $X \subseteq 1$ , one of  $\text{type}(X) = 1$  or  $\text{type}(1 - X) = 1$  (the latter only when  $X = \emptyset$ ).

*Successor.* Suppose that for any  $X \subseteq \omega^\delta$ , either  $\text{type}(X) = \omega^\delta$  or  $\text{type}(\omega^\delta - X) = \omega^\delta$ . Since  $\omega^{\gamma+1} = \omega^\gamma \cdot \omega$ , we can break-up  $\omega^{\gamma+1}$  into disjoint intervals  $W_n = [\omega^n, \omega^{n+1})$ , where  $\text{type}(W_n) = \omega^\delta$  and

$$\omega^{\delta+1} = \{0\} \cup \bigcup_n W_n.$$

Fix  $X \subseteq \omega^{\delta+1}$  and let  $Y = \omega^{\delta+1} - X$ . For each  $n$ , either  $\text{type}(W_n - X) = \omega^\delta$  or  $\text{type}(W_n - Y) = \omega^\delta$ . Suppose there is an unbounded sequence  $\{n_i \mid i \in \omega\}$  with  $\text{type}(X \cap W_{n_i}) = \omega^\delta$ . (If this is not true of  $X$ , then it is true of  $Y$  by the inductive hypothesis, so we can replace  $X$  with  $Y$  in the argument.) Let  $W = \bigcup_i (W_{n_i} \cap X) \subset X$ , so

$$\omega^{\delta+1} \geq \text{type}(X) \geq \text{type}(W) \geq \omega^\delta \cdot \omega = \omega^{\delta+1}.$$

Thus,  $\text{type}(X) = \omega^\delta + 1$ .

*Limit.* Assume  $\delta$  is a limit ordinal. The argument is very similar as the successor case. Since  $\omega^\delta = \sup\{\omega^\xi \mid \xi < \delta\}$ , let  $W_\xi = [\omega^\xi, \omega^{\xi+1})$ . Then,  $\text{type}(W_\xi) = \omega^{\xi+1}$  and

$$\omega^\delta = \{0\} \cup \bigcup_{\xi < \delta} W_\xi.$$

Fix  $X \subseteq \omega^\delta$  and let  $Y = \omega^\delta - X$ . For each  $\xi$ , either  $\text{type}(W_\xi - X) = \omega^{\xi+1}$  or  $\text{type}(W_\xi - Y) = \omega^{\xi+1}$ . Suppose there is an unbounded sequence in  $\delta$ ,  $\{\xi_\eta \mid \eta < \nu\}$ , with  $\text{type}(X \cap W_{\xi_\eta}) = \omega^{\xi_\eta+1}$ . (If this is not true of  $X$ , then it is true of  $Y$  by the inductive hypothesis and the fact that  $\delta$  is a limit ordinal, so we can replace  $X$  with  $Y$  in the argument.) Since  $\{\xi_\eta \mid \eta < \nu\}$  is unbounded in  $\delta$ ,

$$\omega^\delta = \sup\{\omega^\xi \mid \xi < \delta\} = \sup\{\omega^{\xi_\eta+1} \mid \eta < \nu\} = \sup\{\text{type}(W_{\xi_\eta} \cap X) \mid \eta < \nu\}$$

Let  $W = \bigcup_{\eta < \nu} (W_{\xi_\eta} \cap X) \subset X$ , so

$$\text{type}(W) \geq \sup\{\text{type}(W_{\xi_\eta} \cap X) \mid \eta < \nu\} = \omega^\delta.$$

Putting this together,

$$\omega^\delta \geq \text{type}(X) \geq \text{type}(W) \geq \omega^\delta$$

Thus,  $\text{type}(X) = \omega^\delta$ .

(d)  $\Rightarrow$  (a). Fix  $\beta < \alpha$ . Let  $X = \beta < \alpha$ . Then,  $\text{type}(X) = \beta < \alpha$ , so  $\text{type}(\alpha - X) = \alpha$ . But

$$\alpha = \text{type}(X) + \text{type}(X - \alpha) = \beta + \alpha.$$

□

**Exercise 8.** Prove that the following combinatorial definition of ordinal exponentiation is equivalent to the definition by transfinite recursion. Let

$$A(\alpha, \beta) = \{f \in {}^\beta\alpha \mid \{\xi \mid f(\xi) \neq 0\} \text{ is finite}\}.$$

For  $f, g \in A(\alpha, \beta)$  and  $f \neq g$ , say  $f \triangleleft g$  iff  $f(\xi) < g(\xi)$ , where  $\xi$  is the largest ordinal such that  $f(\xi) \neq g(\xi)$ . Show that  $\alpha^\beta = \text{type}(A(\alpha, \beta), \triangleleft)$ .

*Proof.* For this exercise only, I will write  $\text{dom}(f)$  to mean the finite set  $\{\xi \in \beta \mid f(\xi) > 0\}$ .

The proof that  $(A(\alpha, \beta), \triangleleft)$  is a well-ordered set and that  $\alpha^\beta = \text{type}(A(\alpha, \beta), \triangleleft)$  is proven simultaneously is by transfinite recursion on  $\beta$ . When  $\beta = 0$ ,  $A(\alpha, \beta) = \{\emptyset\}$  and  $\alpha^\beta = 1$ , so  $\alpha^\beta = \text{type}(A(\alpha, \beta), \triangleleft)$ .

If  $\beta = \gamma + 1$ , then  $\alpha^\beta = \text{type}(A(\alpha, \gamma), \triangleleft)$ ; however,  $A(\alpha, \beta) \cong \alpha \times A(\alpha, \gamma)$  by the map  $f \mapsto (f(\gamma), f \upharpoonright \gamma)$  and where we take  $\triangleleft$  as the



order on the first set, and lexicographic order on the second. By the inductive hypothesis and Exercise 6

$$\alpha^\beta = \alpha^\gamma \cdot \alpha = \text{type}(\alpha \times A(\alpha, \gamma), \triangleleft).$$

If  $\beta$  is a limit, then by the inductive hypothesis for any  $\gamma < \beta$ ,

$$\alpha^\gamma = \text{type}(A(\alpha, \gamma), \triangleleft).$$

Note that when  $\eta < \gamma$ ,  $A(\alpha, \eta)$  is an initial segment of  $A(\alpha, \gamma)$ , and that  $A(\alpha, \beta) = \bigcup_{\gamma < \beta} A(\alpha, \gamma)$ , since  $\text{dom}(f)$  is finite for  $f \in A(\alpha, \beta)$  and so  $f \in A(\alpha, \gamma)$  for any  $\gamma > \max \text{dom}(f)$ . By Lecture 22, slide 16 and the i.h.

$$\begin{aligned} \text{type}(A(\alpha, \beta), \triangleleft) &= \sup_{\gamma < \beta} \text{type}(A(\alpha, \gamma), \triangleleft) \\ &= \sup_{\gamma < \beta} \alpha^\gamma \\ &= \alpha^\beta. \end{aligned}$$

□